

(NO. OF PAGES: 2)

(FOR THE CANDIDATES ADMITTED

22UBI6E8

DURING THE ACADEMIC YEAR 2025 ONLY)

REG.NO. :

N.G.M.COLLEGE (AUTONOMOUS) : POLLACHI

END-OF-SEMESTER EXAMINATIONS :MAY 2025

B.COM BANKING AND INSURANCE

MAXIMUM MARKS: 50

SEMESTER: VI

TIME : 3 HOURS

22UBI6E8 – FINANCIAL MARKETS AND INSTITUTIONS

SECTION – A (10 X 1 = 10 MARKS)

ANSWER THE FOLLOWING QUESTIONS.

(K1)

1. _____ is a key feature of financial services.
a) Tangibility b) Capital formation
c) Instant returns d) Physical assets
2. _____ is responsible for the issuance of new securities.
a) Secondary market b) Capital market
c) Primary market d) Money market
3. _____ is the primary function of SEBI.
a) Granting loans to businesses b) Regulating the securities market
c) Providing insurance d) Managing mutual funds
4. _____ is the main advantage of investing in a mutual fund.
a) Fixed returns b) Diversification of investment
c) Limited risk d) Tax-free income
5. Which of the following non-banking financial companies focuses on the development of small industries?
a) IDBI b) SIDBI c) NABARD d) IFCI
6. What is meant by financial innovation?
7. Define capital market
8. Write a note on SEBI.
9. Define the term "Net Asset Value" (NAV) in mutual funds.
10. What is the full form of NABARD?

(K2)

SECTION – B

(5 X 3 = 15 MARKS)

ANSWER THE FOLLOWING QUESTIONS.

11. a) Show the features of Financial Services.

(OR)

- b) Interpret the present scenario of financial service sector.

12. a) Categorize the various players in the new issue market.
(OR)
b) List the advantages and disadvantages of listing of securities.
13. a) Assess the functions of SEBI.
(OR)
b) Discover the benefits to credit rated companies.
14. a) Describe the Scope of Mutual Fund.
(OR)
b) Find the risks associated with mutual fund investment.
15. a) Objectives of Non-Banking Financial Corporations.
(OR)
b) Interpret about Small Industries Development Bank of India.

SECTION – C

(5 X 5 = 25 MARKS)

ANSWER THE FOLLOWING QUESTIONS.

(K4 (Or) K5)

16. a) Examine the importance of financial services.
(OR)
b) Summarize the New Financial Products and Services.
17. a) Discuss the various methods of floating issues
(OR)
b) Classify the Functions of stock exchanges
18. a) Examine the SEBI's guidelines for capital market.
(OR)
b) Discuss about any five rating companies in India.
19. a) Categorize the various types of mutual funds
(OR)
b) Construct the factors while selection of a mutual fund investment.
20. a) Summarize the functions of Industrial Development Bank of India.
(OR)
b) Discuss the objectives and functions of NABARD.
