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(FOR THE CANDIDATES ADMITTED

SUB CODE **23UCF204**

DURING THE ACADEMIC YEAR 2023 ONLY)

REG.NO. :

N.G.M.COLLEGE (AUTONOMOUS) : POLLACHI

END-OF-SEMESTER EXAMINATIONS : MAY 2024

B.COM FINANCE

MAXIMUM MARKS: 75

SEMESTER: II

TIME : 3 HOURS

PART - III

FINANCIAL MARKETS AND INSTITUTIONS

SECTION - A

(10 X 1 = 10 MARKS)

ANSWER THE FOLLOWING QUESTIONS:

1. Marketable assets are _____

- a. Shares b. Bonds c. M.F units d. All of these

2. The new issue market encompasses ---- institutions dealing in fresh claim.

- a. selected b. 50% c. all d. none of these

3.The best buy order should match with the best ----- order.

- a. sell b. Price list c. list d. another best buy

4.Debt and debt-related finance is associated with. _____

- a. Reserve bank b. Commercial bank c. merchant banking d. all of these

5.Investment in new and tried enterprises that are lacking a stable record of growth is _____

- a. venture b.capital c. venture capital d. all of these

ANSWER THE FOLLOWING IN ONE (OR) TWO SENTENCES

6. What is money market?

7. Define “New Issue Market”

8.Expand BOLT system and TWS.

9. Write a note on “loan syndication”.

10. What are the main activities of VC funds?

(COND..2)

SECTION – B

(5 X 5 = 25 MARKS)

ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS.

11. a) List out the financial instruments.
(OR)
b) State the advantages of commercial banks.
12. a) Explain the important functions of new issue market.
(OR)
b) “Listing of securities is compulsory”- Discuss.
13. a) State the merits of online-trading.
(OR)
b) What is buy-back of shares? Explain its advantages.
14. a) What do you understand by lead managers?
(OR)
b) Print out the risks attached to mutual funds?.
15. a) Explain the scope of venture capital.
(OR)
b) Classify and Explain the kinds venture financing.

SECTION - C

(5X 8 = 40 MARKS)

ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS.

16. a) Describe the role of financial system in the process of economic development of a country.
(OR)
b) Summarize the recent developments in money market.
17. a) Discuss the various steps involved in the method of trading.
(OR)
b) Enumerate the methods used in floatation of securities in the new issue market.
18. a) Describe the functions of SEBI.
(OR)
b) Explain the conditions attached with NEAT.
19. a) Describe the various services of merchant bankers?
(OR)
b) “The scope for merchant banking is great in India” – Discuss.
20. a) Discuss in detail about major ingredients of Indian venture capital industry.
(OR)
b) Write down the suggestions for the success of venture capital in India.