

(FOR THE CANDIDATES ADMITTED  
DURING THE ACADEMIC YEAR 2021 ONLY)

21UCC6E2

REG.NO. :

**N.G.M.COLLEGE (AUTONOMOUS) : POLLACHI**  
**END-OF-SEMESTER EXAMINATIONS : MAY-2024**  
**COURSE NAME: B.COM-CA** **MAXIMUM MARKS: 70**  
**SEMESTER: VI** **TIME : 3 HOURS**

**PART - III**  
**STOCK MARKET OPERATIONS**

**SECTION - A** (10 X 1 = 10 MARKS)

**ANSWER THE FOLLOWING QUESTIONS.**

**MULTIPLE CHOICE QUESTIONS.**

**(K1)**

1. ----- is the head quarters of SEBI.  
a) Mumbai b) Delhi c) Chennai d) Pune
2. Stock broker means a member of a -----.  
a) SEBI b) RBI c) SBI d) Stock exchange
3. Bonus shares are issued only to -----.  
a) Debenture holders b) Equity shareholders c) Preference shareholders d) Agents
4. Which of the following are the types of auctions?  
a) Absolute auction b) Multi – Parcel auction c) Reserve auction d) All of the above
5. Rigging activity is carried on by the ----- speculators.  
a) Bull b) Jobber c) Bear d) Broker

**ANSWER THE FOLLOWING IN ONE (OR) TWO SENTENCES.**

**(K2)**

6. What do you mean by IPO?
7. Define the term “Dematerialization”
8. Give an explanation for bonus issue.
9. What is meant by auction market?
10. What does price rigging mean?

**SECTION – B**

**(5 X 4 = 20 MARKS)**

**ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS. K3**

11. a) Bring out the differences between primary market and Secondary market.  
(OR)  
b) Briefly explain the Indian securities market.
12. a) List the functions of Secondary market.  
(OR)  
b) Discuss the defects found in working of Indian stock exchange.

**(CONTD ... 2)**

- 13.a) How do you differentiate bonus issue from right issue?  
(OR)  
b) What do you mean by delisting? How does it work for stock shares?
- 14.a) Describe NSE.  
(OR)  
b) Write a short note on Demat accounts.
- 15.a) What do you mean by stock market index? Explain its types.  
(OR)  
b) Define margin trading and its advantages.

**SECTION - C**

**(4 X 10 = 40 MARKS)**

**ANSWER ANY FOUR OUT OF SIX QUESTIONS**

**(16<sup>th</sup> QUESTION IS COMPULSORY AND ANSWER ANY THREE QUESTIONS**

**(FROM Qn. No : 17 to 21)**

**(K4 (Or) K5)**

16. Explain in detail the role of SEBI in stock market.
17. Bring out the various functions of stock exchange.
18. What are the eligibility requirements for companies to be listed on BSE?
19. Mention different types of stock brokers and their functions.
20. Elucidate the term listing and explain its merits and demerits.
21. Enumerate the methods to minimize the risk in stock market.

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